

COMPLIANCE ONLINE COURSE GUIDE

GENERAL REGULATORY COMPLIANCE

# Course	Course Name	Level	Duration
1010	U.S. AML/CFT regulatory framework	Introductory	30 Minutes
Introduction to the BSA/AML regulations and regulators of the U.S. financial system.			

2022	Best Practices for a Policies and Procedures Manual	Intermediate	45 Minutes
Review of best practices for developing a regulatory compliance policies and procedures manual. Overview of recommended content by compliance pillar.			

N/A	Policies and Procedures Manual Guide	Advanced	N/A
In-depth guide for developing a compliance policies and procedures manual based on international best practices and applicable regulatory requirements.			

2014	Introduction to Virtual Assets	Introductory	45 Minutes
Introduction to the nature of virtual assets/cryptocurrencies, including the characteristics, key terms, types of cryptocurrencies, and how cryptocurrencies work. Includes test of knowledge.			

ANTI MONEY LAUNDERING

# Course	Course Name	Level	Duration
2010	Introduction to Anti-Money Laundering	Introductory	1 hour
Introduction to anti-money laundering, including the stages of money laundering, the U.S. and global regulatory framework, and compliance requirements. Includes test of knowledge.			

2012	Customer Due Diligence Best Practices	Introductory	1.5 hours
Introduction to the customer due diligence process, including steps in the due diligence process, best practices, and common mistakes. Includes test of knowledge.			

2016	AML Risks of Virtual Assets	Intermediate	45 Minutes
Review of the AML/CFT risks of virtual assets, including the international regulatory framework, typologies, and strategies for managing the associated risks. Includes test of knowledge.			

2018	Countering WMD Financing	Introductory	30 Minutes
Introduction to the financing of the proliferation of weapons of mass destruction (WMD), including an overview, regulatory framework, detection challenges, and risk mitigation strategies. Includes test of knowledge.			

ANTI-CORRUPTION

# Course	Course Name	Level	Duration
2410	Anticorruption Compliance Training	Introductory	30 Minutes
Introduction to the prevention of corruption in the financial system, including the global anti-corruption framework and the essential elements of an anti-corruption compliance program. Includes test of knowledge.			

INTERNATIONAL SANCTIONS

# Course	Course Name	Level	Duration
2210	Introduction to Global Sanctions Programs	Introductory	30 Minutes
Introduction to international sanctions programs, including UN, European Union and OFAC sanctions. Includes test of knowledge.			

2220	Best Practices for an OFAC Risk Assessment	Intermediate	30 Minutes
The course covers OFAC's requirements for a Compliance Program, Risk Assessment methodology, and an analysis of OFAC risk factors. Includes test of knowledge.			

2018	Export Controls: The New Regulatory Focus	Advanced	3 Hours
In-depth review and analysis of U.S. and European Union export control requirements, including the regulatory framework and the resources available to support compliance. Includes test of knowledge.			

TAX COMPLIANCE

# Course	Course Name	Level	Duration Cost
2610	Introduction to FATCA & CRS	Introductory	30 Minutes
Introduction to FATCA & CRS, covering the purpose of the regulations, the principal requirements and the types of financial institutions that are covered by the regulations.			

2612	FATCA for Front-Line Staff	Intermediate	1.5 Hours
<p>Designed for front-line staff serving customers, the course covers the basic requirements of FATCA, the obligations of financial institutions, the process of detecting and managing FATCA indicia, and customer classification and documentation. Includes test of knowledge.</p>			

4010	FATCA and CRS Certified Professional (FCCP ©)	Advanced	90 days
<p>Advanced level course covering FATCA and CRS compliance requirements. It is designed to provide the knowledge needed to implement and oversee a financial institution's FATCA and CRS compliance programs.</p> <p>Prerequisites: A minimum of three (3) years of regulatory compliance experience at a qualified financial institution (Foodman Learning Solutions). They include one-on-one live (Zoom) sessions with instructors to ask questions and review subject matter. It includes a final exam that requires a minimum score of 75% to pass the course. The certification is valid for 1 year.</p> <p>Enrollment in the course requires pre-approval from Foodman Learning Solutions. Email us at info@foodmanpa.com if you are interested in this course.</p>			

4110 4120	FCCP© - Annual Recertification	Advanced	2 Hours
<p>Advanced level courses are offered only to individuals who have successfully completed Professional Certification in FATCA and CRS. The course is required to maintain the FCCP© designation, and offers 2 hours of continuing education required for annual recertification (10 hours are required)</p>			